

# Lecture 6 (part): Association Mapping

Bruce Walsh lecture notes  
Tucson Winter Institute  
9 - 11 Jan 2013

# Association mapping

- Review of basic ideas
- General pedigree (random effects) models
- Mixed-models
  - SNP as fixed effects, background as random effects
  - QK method
  - Heterotic marker effects

# Basic ideas behind association mapping

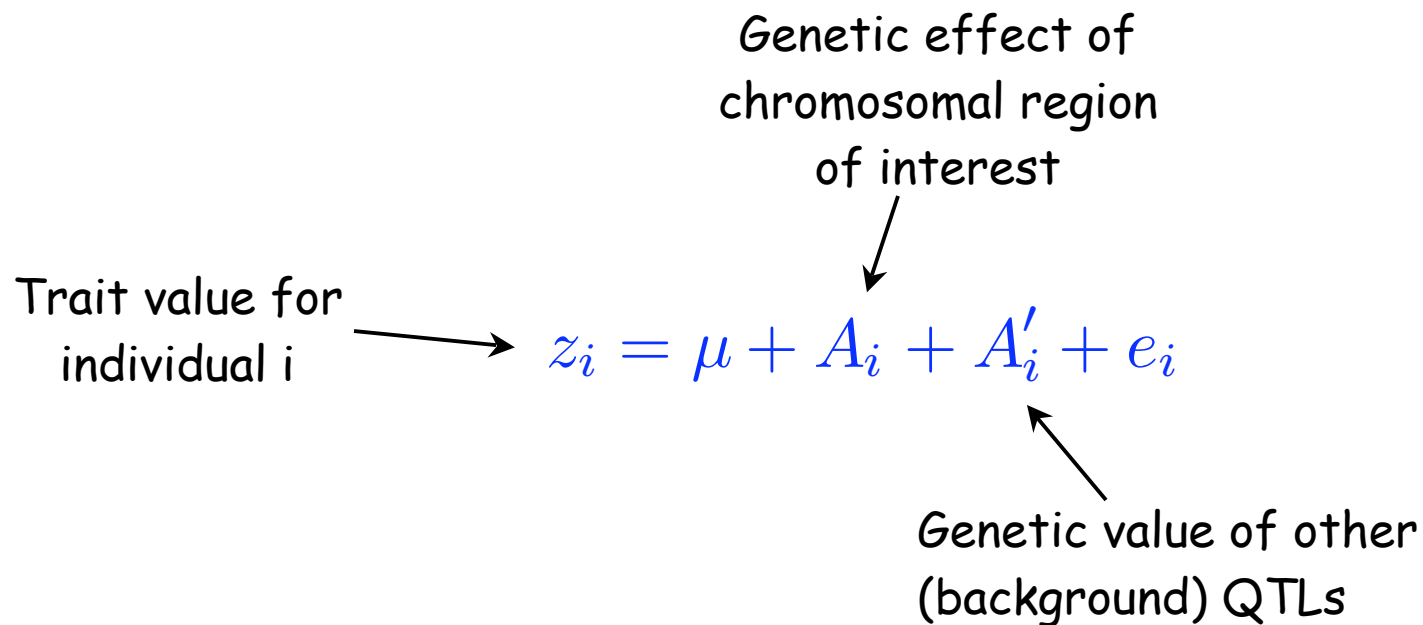
- A new mutation is initially in complete LD with tightly-linked markers
  - Since LD declines as  $(1-c)^t$ , if  $c$  is small, LD will persist for a very long time
  - Generates a **marker-trait association** that will appear in a random sample of individuals from a population.
- Problem: If population subdivision is present, and mean trait values differ over subpopulations, a particular marker providing information on group status will also generate a marker-trait association

# Model 1: Random effects, pedigree models

- Suppose our association sample of individuals contains some relatives
- Want to separate shared pedigree effects on a trait from shared marker effects
- First model appeared in human genetics, the **random-effects pedigree** model
  - Region was treated as a random effect (a significant marker variance indicated a QTL in the region)
  - A background polygenic effect shared over relatives also included.

# General Pedigree Methods

Random effects (hence, variance component) method for detecting QTLs in general pedigrees



$$z_i = \mu + A_i + A'_i + e_i$$

The covariance between individuals  $i$  and  $j$  is thus

Variance explained by the **region** of interest

Resemblance between relatives correction

$$\sigma(z_i, z_j) = R_{ij} \sigma_A^2 + 2\Theta_{ij} \sigma_{A'}^2$$

Fraction of chromosomal region shared IBD between individuals  $i$  and  $j$ .

Variance explained by the **background** polygenes

Assume  $\mathbf{z}$  is MVN, giving the covariance matrix as

$$\mathbf{V} = \mathbf{R} \sigma_A^2 + \mathbf{A} \sigma_{A'}^2 + \mathbf{I} \sigma_e^2$$

Here

$$\mathbf{R}_{ij} = \begin{cases} 1 & \text{for } i = j \\ \hat{R}_{ij} & \text{for } i \neq j \end{cases}, \quad \mathbf{A}_{ij} = \begin{cases} 1 & \text{for } i = j \\ 2\Theta_{ij} & \text{for } i \neq j \end{cases}$$

Estimated from marker  
data

Estimated from  
the pedigree

The resulting likelihood function is

$$\ell(\mathbf{z} | \mu, \sigma_A^2, \sigma_{A'}^2, \sigma_e^2) = \frac{1}{\sqrt{(2\pi)^n |\mathbf{V}|}} \exp \left[ -\frac{1}{2} (\mathbf{z} - \mu)^T \mathbf{V}^{-1} (\mathbf{z} - \mu) \right]$$

A significant  $\sigma_A^2$  indicates a QTL in the focal region.

# The next step, mixed models

- The random effects model (assuming marker effects are random) suffers from low power
  - Random effects estimate a variance, which has a much larger sampling variance than fixed effects which estimate a mean
- Mixed models treat SNP effects as fixed, background as random
  - As SNP maps become more dense, could treat each SNP as a fixed effect --- compare mean difference of 00, 01, and 11 genotypes



# Basic mixed model

Assume a collection of unrelated individuals drawn from a single population. There are potentially a number of fixed effects in addition to the effects associated with the current SNP being considered

$$y = X\beta + Sa + e$$

 SNP effects

Fixed effects in blue, random effects in red

# Example

Suppose individuals 1 and 2 have genotype 00, individual 3 genotype 11, and individual 2 genotype 10

A general model for effects is  $00 = -a$ ,  $01 = d$ ,  $11 = a$

Resulting  $\mathbf{S}$  and  $\mathbf{a}$  matrices for this model is

$$\mathbf{S} = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \mathbf{a} = \begin{pmatrix} -a \\ d \\ a \end{pmatrix}$$

# Expanding this mixed model

- One complication is the **presence of related individuals** within the sample
  - General pedigree methods can accommodate this
- A second complication is that the sample may come from **several different populations**, which may differ in their mean trait values
  - A SNP marker may be informative as to group membership, generating a marker-trait association even though it may be unlinked to any QTL

# Structured Association Mapping

Pritchard and Rosenberg (1999) proposed **Structured Association Mapping**, wherein one assumes  $k$  subpopulations (each in Hardy-Weinberg).

Given a large number of markers, one then attempts to assign individuals to groups using an MCMC Bayesian classifier (their program STRUCTURE)

Once individuals assigned to groups, association mapping without any correction can occur in each group.

# Structure plus Kinship Methods

Association mapping in plants often occurs by first taking a large collection of lines, some closely related, others more distantly related. Thus, in addition to this collection being a series of subpopulations (derivatives from a number of founding lines), there can also be additional structure within each subpopulation (groups of more closely related lines within any particular lineage).

$$y = X\beta + Sa + Qv + Zu + e$$

Fixed effects in blue, random effects in red

# Q-K method

$$Y = X\beta + Sa + Qv + Zu + e$$

$\beta$  = vector of fixed effects

$a$  = SNP effects

$v$  = vector of subpopulation effects (STRUCTURE)

$Q_{ij}$  = Prob(individual  $i$  in group  $j$ ). Determined from STRUCTURE output

$u$  = shared polygenic effects due to kinship.

$\text{Cov}(u) = \text{var}(A) * A$ , where the relationship matrix

$A$  estimated from marker data matrix  $K$

# Even more general models

- The mixed-model machinery introduced in the last lecture easily extends to incorporating marker information
- Bernardo (11.8.1) gives an example where sets of inbreds (P1 and P2) from two heterotic groups are crossed
  - Can estimate the *GCA*s and *SCA*s in addition to the effects for marker alleles on variation in P1, variation in P2, and the interaction effects in the cross

Fixed effects in blue, random effects in red

$$Y = X\beta + Z_1g_1 + Z_2g_2 + Z_3s + W_1m_1 + W_2m_2 + W_3m + e$$

$g_i$  is the vector of GCAs for the lines in the set  $P_i$ ,  $s$  the vector of SCA for these crosses

$m_i$  is the vector of SNP marker effects for the Lines in the set  $P_i$ ,  $m$  the vector SNP interaction effects in their cross